

16638 U.S. PTO
102303

In the United States Patent and Trademark Office

Mailed October 23, 2003

Docket# 1577AAD

Commissioner for Patents
P.O.Box 1450
Alexandria, Virginia 22313

Sir:

Please file the following enclosed patent application papers:

Applicant # 1. Name: Hua Kang WU

Applicant # 2. Name: IOI TECHNOLOGY CORPORATION

Title: CABLE COUPLER DEVICE FOR ELECTRIC FACILITIES

- ☒ Specification, Claims, and Abstract No. of Sheets 8
- ☒ Declaration: Date Signed: September 30, 2003
- ☒ Drawing(s): No. of Sheets Encl.: 5 Formal: 5 (6 figures)
- ☒ Small Entity Declaration Of Inventor(s) ☒ SED of Non-Inventor/Assignee/Licensee
- ☒ Assignment: please record and return; recorded fee enclosed.
- ☒ Check for \$ 425.- for (check No. 29230, #29192)
- ☒ \$ 385.- for filing fee (not more than three independent claims and twenty total claims are presented)
- ☒ \$ 40.- Additional if Assignment is enclosed for recordal

☐ PTO-1449,

☒ Return Receipt Postcard Addressed to Applicant # 1.

☒ Request Under MPEP § 707.07(1): The undersigned, a prose applicant, respectfully requests that if the Examiner finds patent able subject matter disclosed in this application, but feels that Applicants present claims are not entirely suitable, the Examiner draw one or more allowable claims for applicant.

Hua Kang WU

Very respectfully

Hua Kang Wu

Applicant # 1 Signature

Applicant # 2 Signature

P.O.Box 10-69, Chong Ho,

Address (Send Correspondence Here)

Address

Taipei, Taiwan 235

Express Mail Label # EE369282825TW

Date of Deposit October 23, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service using Express Mail Post Address service under 37 CFR 1.10 on the date indicated above and is address to Commission for Patents, Alexandria, VA 22313 •

Signed:

Hua Kang Wu

Inventor

15757 U.S. PTO
10/694573

102303

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: IOI TECHNOLOGY CORPORATION Attorney's Docket No.: 1577AAD

Serial or Patent No.: _____

Filed or Issued: _____

For: CABLE COUPLER DEVICE FOR ELECTRIC FACILITIES

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS

(37 CFR 1.9(f) and 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

☒ [X] the owner of the small business concern identified below:

☐ [] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN IOI TECHNOLOGY CORPORATION

ADDRESS OF CONCERN 6F, No. 440-2, Gin Pin Road, Chong Ho
City, Taipei Hsien, Taiwan 235

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, on concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

☒ [X] the specification filed herewith

☐ [] application serial no. _____, filed _____

☐ [] patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the ☐ [] Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, ny patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Hua Kang WU

TITLE OF PERSON OTHER THAN OWNER president

ADDRESS OF PERSON SIGNING 6F, No. 440-2, Gin Pin Road, Chong Ho
City, Taipei Hsien, Taiwan 235

SIGNATURE Hua Kang Wu DATE September 30, 2003